

SUPERINTENDENT'S REGISTER / REGISTRE DU SURINTENDANT

November 6, 2017 / le 6 novembre, 2017

BANKS / BANQUES	LIRA/CRI	LIF/FRV
BANK OF NOVA SCOTIA (See Bank of Nova Scotia Trust Co. (Scotiabank)/Voir Societe de Fiducie Banque de Nouvelle-Ecosse)	X	X
CANADIAN IMPERIAL BANK OF COMMERCE (see CIBC Trust Corporation/Voir Compagnie Trust CIBC)	X	X
CANADIAN WESTERN BANK	X	
CONCENTRA BANK	X	X
HSBC BANK CANADA	X	
TANGERINE BANK	X	X
NATIONAL BANK FINANCIAL GROUP	X	
CREDIT UNIONS / CREDIT UNION ET CAISSES POPULAIRES	LIRA/CRI	LIF/FRV
Central 1 Credit Union	X	X
• Aldergrove Credit Union	X	X
• Bulkley Valley Credit Union	X	X
• CCEC Credit Union	X	X
• Columbia Valley Credit Union	X	X
• Compensation Employees Credit Union	X	X
• Creston & District Credit Union	X	X
• Cumberland & District Credit Union	X	X
• East Kootenay Community Credit Union	X	X
• Enderby & District Credit Union	X	X
• First Credit Union	X	X
• First West Credit Union	X	X
• Fisgard Capital Corporation	X	X
• Grand Forks District Savings Credit Union	X	X
• Greater Vancouver Community Credit Union	X	X
• Gulf and Fraser Credit Union	X	X
• Heritage Credit Union	X	X
• Integris Credit Union	X	X
• Khalsa Credit Union	X	X
• Ladysmith & District Credit Union	X	X
• Lake View Credit Union	X	X
• Mount Lehman Credit Union	X	X
• Nelson & District Credit Union	X	X
• Northern Savings Credit Union	X	X
• North Peace Savings Credit Union	X	X
• Osoyoos Credit Union	X	X
• Revelstoke Credit Union	X	X
• Salmon Arm Savings Credit Union	X	X
• Sharons Credit Union	X	X
• Spruce Credit Union	X	X

CREDIT UNIONS / CREDIT UNION ET CAISSES POPULAIRES (cont)	LIRA/CRI	LIF/FRV
• Summerland & District Credit Union	X	X
• Sunshine Coast Credit Union	X	X
• Union Bay Credit Union	X	X
• V.P. Credit Union	X	X
• Vancouver Firefighters Credit Union	X	X
• VantageOne Credit Union	X	X
• Williams Lake Credit Union	X	X
BLUESHORE FINANCIAL CREDIT UNION	X	X
COAST CAPITAL SAVINGS CREDIT UNION	X	X
COASTAL COMMUNITY CREDIT UNION	X	
FIRST CALGARY FINANCIAL CREDIT UNION LIMITED	X	
FIRST ONTARIO CREDIT UNION LIMITED	X	
INTERIOR SAVINGS CREDIT UNION	X	X
PROSPERA CREDIT UNION	X	X
VANCOUVER CITY SAVINGS CREDIT UNION	X	
LIFE INSURANCE COMPANIES / COMPAGNIES D'ASSURANCE	LIRA/CRI	LIF/FRV
ASSUMPTION MUTUAL LIFE INSURANCE COMPANY	X	X
BMO LIFE ASSURANCE COMPANY	X	X
THE CANADA LIFE ASSURANCE COMPANY	X	X
CO-OPERATORS LIFE INSURANCE COMPANY	X	X
CUMIS LIFE INSURANCE COMPANY	X	X
DESJARDINS FINANCIAL SECURITY LIFE ASSURANCE OF COMPANY	X	X
DESJARDINS INSURANCE	X	
THE EMPIRE LIFE INSURANCE COMPANY	X	X
THE EQUITABLE LIFE INSURANCE COMPANY OF CANADA	X	X
THE GREAT-WEST LIFE ASSURANCE COMPANY	X	X
INDUSTRIAL ALLIANCE INSURANCE AND FINANCIAL SERVICES INC.	X	X
IVARI	X	X
LA CAPITALE INSURANCE AND FINANCIAL SERVICES INC.	X	X
LONDON LIFE INSURANCE COMPANY	X	X
THE MANUFACTURER'S LIFE INSURANCE COMPANY (Manulife Financial)	X	X
PRIMERICA LIFE INSURANCE COMPANY OF CANADA	X	X
RBC LIFE INSURANCE	X	X
SSQ, LIFE INSURANCE COMPANY INC	X	X
SUN LIFE ASSURANCE COMPANY OF CANADA	X	X
THE STANDARD LIFE ASSURANCE COMPANY OF CANADA	X	X
THE WAWANESA LIFE INSURANCE COMPANY	X	
TRUST COMPANIES / SOCIETE DE FIDUCIE	LIRA/CRI	LIF/FRV
BANK OF NOVA SCOTIA TRUST CO. (SCOTIA TRUST)	X	X
• 1832 Asset Management L.P.	X	X
• HollisWealth Inc.	X	X
• HollisWealth Advisory Services Inc.	X	X
• Scotia Capital Inc.	X	X

TRUST COMPANIES / SOCIETE DE FIDUCIE (cont)	LIRA/CRI	LIF/FRV
• Scotia Securities Inc.	X	X
BMO TRUST COMPANY	X	X
• Bank of Montreal	X	X
• BMO GRS	X	
• BMO Private Investment Counsel Inc.	X	X
• BMO InvestorLine Inc.	X	X
• BMO Investments Inc.	X	X
• BMO Nesbitt Burns Inc.	X	X
B2B TRUSTCO	X	X
• AGF Group of Funds	X	X
• B2B Bank	X	X
• B2B Bank Intermediary Services Inc.	X	X
• B2B Bank Financial Services Inc.	X	X
• B2B Bank Securities Services Inc.	X	X
• Counsel Portfolio Services Inc.	X	X
• Harmony	X	X
• Investors Group Financial Services Inc.	X	X
• Investors Group Securities	X	X
• Mackenzie Financial Corporation	X	X
• PFSL Fund Management Ltd.	X	X
• Quandrus Investment Services Ltd.	X	X
THE CANADA TRUST COMPANY	X	X
• Assante Capital Management Ltd.	X	X
• Assante Financial Management Ltd.	X	X
• Canadian ShareOwner Investments Inc.	X	X
• C.I. Investments Inc.	X	X
• Fidelity Investments Canada ULC	X	X
• IPC Investment Corporation	X	X
• Manulife Bank of Canada	X	
• Peak Investments Services Inc.	X	X
• Peak Securities Inc.	X	X
• TD Asset Management Inc. (TDAM)	X	X
• TD Asset Management Inc. (TD Mutual Funds)	X	X
• TD Asset Management Inc. (TD Future Builder)	X	
• TD Bank (TD Employee Future Builder)	X	
• TD Bank (TD Canada Trust)	X	X
• TD Waterhouse (Canada) Inc.	X	X
• TD Waterhouse Investment Advice	X	X
• TD Waterhouse PIC Managed	X	X
• TD Waterhouse Private Trust	X	X
CANADIAN WESTERN TRUST COMPANY	X	X
• Adroit Investment Management	X	X
• ATB Securities Inc.	X	X
• Benflex	X	X

TRUST COMPANIES / SOCIETE DE FIDUCIE (cont)	LIRA/CRI	LIF/FRV
• Canadian Western Trust Company CGS	X	X
• Canoe Financial LP	X	X
• Haywood Securities Inc.	X	X
• Leede Jones Gable Inc.	X	X
• Morneau Shepell Ltd	X	X
• Odlum Brown Limited	X	X
• OceanRock Investments Inc.	X	X
• Open Access Limited	X	X
• Portfolio Strategies Securities Inc.	X	X
• Qtrade Securities Inc.	X	X
• Qtrade Asset Management	X	X
• Raymond James Ltd.	X	X
• RKL/CWT	X	X
• Steadyhand Investment Funds Inc.	X	X
• The Canadian Conference of Mennonite Brethren Church of North America	X	X
• Worldsource Financial Management Inc.	X	X
• Trinity Western University	X	X
CENTRAL 1 TRUST COMPANY	X	X
CIBC MELLON TRUST COMPANY	X	X
• Acorn Global Investments Inc	X	X
• Focus Asset Management Ltd.	X	X
• Foyston, Gordon & Payne Inc.	X	X
• Integra Captial Limited	X	
• I3 Advisors Inc.	X	X
• JDM Investment Partners Ltd.	X	X
• Leith Wheeler Investment Management Ltd.	X	X
• Lincluden Management Limited	X	X
• O'Leary Funds Management LP	X	X
• PenderFund Capital Management Ltd.	X	X
• Portland Investment Counsel Inc.	X	X
• The Manufacturers Life Insurance Company	X	X
• Tradex Management Inc.	X	X
• Toron Capital Markets Inc.	X	X
• Vertex One Asset Management Inc.	x	X
CIBC TRUST CORPORATION	X	X
• CIBC Investor Services Inc.	X	X
• CIBC Securities Inc.	X	
• CIBC Personal Portfolio Services	X	X
• CIBC Wood Gundy	X	X
• Simplii Financial	X	
• Renaissance Investments	X	X
COMPUTERSHARE TRUST COMPANY OF CANADA	X	X
• BBS Securities Inc	X	X
• Caldwell Securities Limited	X	X

TRUST COMPANIES / SOCIETE DE FIDUCIE (cont)	LIRA/CRI	LIF/FRV
• Stone Investment Group Limited	X	X
• Canaccord Genuity Corp.	X	X
• Cormark Securities	X	X
• Credential Securities Inc.	X	X
• Edward Jones	X	X
• Fidelity Clearing Canada ULC	X	X
• Global Securities Corporation	X	X
• GMP Securities LP	X	X
• Keybase Financial Group Inc.	X	X
• PI Financial Corp.	X	X
• Peters & Co. Limited	X	X
• Portfolio Strategies Corporation	X	X
• Questrade Inc.	X	X
• Sprott Assest Management L.P.	X	X
• Stone & Co. Limited	X	X
• Sterling Mutuals Inc.	X	X
• Wolverton Securities Ltd.	X	X
CONCENTRA TRUST	X	X
• Affinity Credit Union	X	X
• Alterna Bank	X	X
• Alterna Savings	X	X
• Assiniboine Credit Union	X	X
• Caisse	X	X
• Concentra Bank	X	X
• Credential Asset Management	X	X
• Crocus Investment Fund	X	X
• Diamond North	X	
• First Nations Bank of Canada	X	
• Golden Opportunities Fund Inc.	X	
• GrowthWorks-Matrix	X	
• Tangerine Investment Funds Limited	X	X
• JovFunds	X	X
• Lutheran Church - Canada, Alberta-British Columbia District Investment Ltd.	X	X
• MWCN	X	X
• Northwest & Ethical Investments L.P.	X	X
• Outlook Financial	X	
• SaskWorks Venture Fund Inc.	X	
Credit Union RSP & RIF	X	X
• Accent Credit Union	X	
• Access Credit Union Limited	X	X
• Achieva Financial	X	
• Affinity Credit Union	X	X
• Amaranth Credit Union	X	X
• Belgian Alliance Credit Union	X	X

TRUST COMPANIES / SOCIETE DE FIDUCIE (cont)	LIRA/CRI	LIF/FRV
• Beautiful Plains Credit Union Limited	X	X
• Cambrian Credit Union Limited	X	X
• Catalyst Credit Union Limited	X	X
• Carpathia Credit Union Limited	X	X
• Casera Credit Union Limited	X	X
• Caisse Populaire Groupe Financier Ltee	X	X
• Churchbridge Credit Union	X	
• Conexus Credit Union	X	
• Cornerstone Credit Union Financial Group	X	
• Crocus Credit Union Limited	X	X
• Crossroad Credit Union		X
• Crosstown Civic Credit Union	X	X
• Easternedge Credit Union	X	
• Entegra Credit Union Limited	X	X
• Erickson Credit Union Limited	X	X
• Firstontario Credit Union	X	X
• Flin Flon Credit Union Limited	X	X
• Foam Lake Savings & Credit Union Ltd.	X	X
• Kindred Credit Union Limited	X	X
• Lafleche Credit Union Ltd.	X	
• Minnedosa Credit Union Limited	X	X
• Newfoundland & Labrador Credit Union Ltd.	X	
• Nexus Community Savings	X	X
• Niverville Credit Union Ltd.	X	X
• North Valley Credit Union	X	
• North Winnipeg Credit Union	X	X
• Noventis Credit Union	X	X
• Oakbank Credit Union Limited	X	X
• Porcupine Credit Union	X	
• Prairie Central Credit Union (2006) Ltd	X	
• Prairie Mountain	X	
• Prairie Pride	X	
• Rapport Credit Union Limited	X	
• Rosenort Credit Union Limited	X	X
• Spiritwood Credit Union Ltd.	X	
• St Gregor Credit Union	X	
• Steinbach Credit Union Limited	X	X
• Strathclair Credit Union Limited	X	X
• Stride Credit Union Limited	X	X
• Sunova Credit Union	X	X
• SunRise Credit Union	X	X
• Swan Valley Credit Union Limited	X	X
• Synergy Credit Union Ltd.	X	X
• Vanguard Credit Union	X	X

TRUST COMPANIES / SOCIETE DE FIDUCIE (cont)	LIRA/CRI	LIF/FRV
• Westoba Credit Union Limited	X	X
• Winnipeg Police Credit Union Limited	X	X
DESJARDINS TRUST INC.	X	X
• Desjardins Financial Security Investments Inc.	X	X
• Desjardins Funds and Guaranteed Investment	X	X
• Desjardins Securities Inc.	X	X
• Letko Brosseau Inc.	X	X
• SFL Investments	X	X
HOME TRUST COMPANY	X	
HSBC TRUST COMPANY (CANADA)	X	X
• HSBC Securities (Canada) Inc.	X	X
• HSBC Investment (Canada) Ltd.	X	
• HSBC Investment Funds (Canada) Inc.	X	
• HSBC World Selection	X	
• HSBC Premier Wealth Planning	X	X
INDUSTRIAL ALLIANCE TRUST INC.	X	X
• FundEX Investments Inc.	X	X
• IA Clarington Investments Inc.	X	X
• Industrial Alliance Securities Inc.	X	X
• Investia Financial Services Inc.	X	X
INVESTORS GROUP TRUST CO. LTD.	X	X
• Investors Group Financial Services Inc.	X	X
• London Life Insurance Company	X	X
• Quadrus Investment Services Ltd.	X	X
LBC TRUST		
• Laurentian Bank	X	X
• Laurentian Bank Securities	X	X
• Return on Innovation Fund Inc.	X	X
MANULIFE TRUST COMPANY		
• Manulife Asset Management Limited	X	X
• Manulife Private Wealth	X	X
• Manulife Securities Incorporated	X	X
• Manulife Securities Investment Services Inc.	X	X
NATCAN TRUST COMPANY	X	X
• National Bank Financial Inc.	X	X
• National Bank Financial, Ltd.	X	X
• National Bank Direct Brokerage Inc.	X	X
• National Bank Investments Inc.	X	X
• National Bank Trust Inc./Private Investment Management	X	X
OLYMPIA TRUST COMPANY	X	X
PEACE HILLS TRUST COMPANY	X	
ROYAL TRUST COMPANY	X	X
• Arrow Capital Management Inc.	X	X
• Aegon Fund Management Inc.	X	X

TRUST COMPANIES / SOCIETE DE FIDUCIE (cont)	LIRA/CRI	LIF/FRV
• Beutel Goodman & Company Limited	X	
• BNY Mellon Wealth Management Advisory Services, Inc.	X	X
• Bullion Management Services Inc.	X	X
• Burgundy Asset Management Ltd.	X	X
• Connor, Clark & Lunn Private Capital Ltd.	X	X
• Duncan Ross Associates Ltd.	X	X
• EdgePoint Wealth Management Inc.	X	X
• Empire Life Mutual Funds	X	X
• Excel Funds Management Inc.	X	X
• Fiera Capital Corporation	X	X
• First Asset Investment Management Inc.	X	X
• Franklin Templeton Investments Corporation	X	X
• Front Street Capital 2004	X	X
• Galibier Capital Mangement Ltd	X	
• Gobal Growth Assets Inc.	X	X
• Gluskin Sheff & Associates Inc.	X	X
• Highstreet Asset Management Inc.	X	X
• I.A. Michael Investment Counsel Ltd.	X	X
• Invesco Canada Ltd.	X	X
• Letko Brosseau & Associates Inc.	X	X
• Mackie Research Capital Corporation	X	X
• Matco Financial Inc.	X	X
• Marquest Asset Management Inc.	X	X
• Mawer Investment Management Ltd.	X	X
• McElvaine Investment Management Ltd.	X	X
• MD Management Ltd.	X	X
• MD Private Trust Company	X	X
• Montrusco Bolton Investments Inc.	X	X
• Nexus Investment Management Inc.	X	
• QV Investors Inc.	X	X
• Phillips, Hager & North Investment Management Ltd.	X	X
• RBC Global Asset Management Inc.	X	X
• RBC Investor Services Trust	X	X
• RBC Direct Investing Inc.	X	X
• RBC Dominion Securities Inc.	X	X
• RBC Structured Notes	X	X
• RBC Phillips, Hager & North Investment Counsel Inc.	X	X
• RBC Private Counsel (USA) Inc.	X	X
• Royal Bank of Canada	X	X
• Royal Trust Corporation of Canada	X	X
• SEI Investments Canada Company	X	X
• Sun Life Global Investments	X	X
• UBS Bank (Canada)	X	X
• Value Partners Investment Inc.	X	X

TRUST COMPANIES / SOCIETE DE FIDUCIE (cont)	LIRA/CRI	LIF/FRV
• Yorkville Asset Management Inc.	X	X
STATE STREET TRUST COMPANY CANADA	X	X
• Russell Investments	X	X
• Sentry Investments Inc.	X	X
SUN LIFE FINANCIAL TRUST INC.	X	X
• CI Investments Inc.	X	X
• Income Master	X	X
• Market Linked Guaranteed Investment Certificate	X	
• Sun Life Assurance Company of Canada	X	X
• Sun Life Financial Investment Services (Canada) Inc.	X	X
• Sun Spectrum	X	X
• Superflex	X	X
• SunWise Elite	X	X
WESTERN PACIFIC TRUST COMPANY	X	X
OTHER / AUTRE	LIRA/CRI	LIF/FRV
• Knights of Columbus	X	